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EXAMINER
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CRAIG, DWIN M

ART UNIT	PAPER NUMBER
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2123

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07/18/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/765,820

Applicant(s)

BAHEL ET AL.

Examiner

Dwin M. Craig

Art Unit

2123

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 16 April 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-14, 16-21, 23-25 and 27-54 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14, 16-21, 23-25, 27-54 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

### DETAILED ACTION

1. Claims 1-14, 16-21, 23-25, 27-42 are presented for reconsideration based on Applicants' amendments and arguments, claims 43-54 have been presented for examination.

#### *Response to Arguments*

2. Applicants' arguments received in the 4/16/2007 responses have been fully considered; the Examiner's response is as follows:

2.1 Regarding the objection to the specification, the Examiner thanks the Applicants' for providing an amended specification and the Examiner withdraws the earlier objection to the same.

2.2 Regarding the 35 U.S.C. 101 rejections of claims 26-42, in view of Applicants' canceling claim 26 and providing the newly presented claim 43, the Examiner withdraws the earlier 35 U.S.C. 101 rejection of claims 26-42.

2.3 Regarding Applicants' arguments as to the rejections applied under 35 U.S.C. 102(e) to Rossi, the Examiner has found Applicants' arguments persuasive, more specifically, the Examiner has found the argument on page 16 "*Rossi is silent as to selecting a flow control device based on the output of a model.*" the Examiner notes that while Rossi does teach flow control devices, it does not expressly teach this specific limitation.

The previously applied 35 U.S.C. 102(e) rejections of claims 1, 2, 3, 5, 6, 11 and 13 are being withdrawn.

2.4 Regarding the Applicants' arguments concerning the 35 U.S.C. 103(a) rejections of the claims. The Examiner hereby withdraws those rejections for the reasons cited concerning the Rossi reference.

-An updated search has revealed new art.

### ***Double Patenting***

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the “right to exclude” granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

3. Claim 43 is rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 12 and 13 of U.S. Patent No. 7010926. Although the conflicting claims are not identical, they are not patentably distinct from each other because both claims model a cooling system, claim 43, *a method of computer-based simulation of cooling system*, claim 13 *processing said condensing unit characteristics and compressor characteristics based on said multiple simulation points*, both claims teach *condensers and evaporators with parameters* in the simulation, both claims disclose selecting a *refrigerant characteristic* in the claim 43 *inputting refrigerant properties for a refrigerant flowing through said cooling system* in

claim 13, *...and refrigerant type*, claim 43 teaches *at least one of said condensing and said evaporator parameters including configuration information for a heat exchanger of said cooling system*, claim 12 teaches, *selecting an application type for an evaporator and processing said condensing unit characteristics*, regarding the limitation of a *heat exchanger* in section [0002] is discloses, *The condensing unit operates as a heat exchanger...* therefore the teaching of a condensing unit in claim 12 meets the claimed limitation of a *heat exchanger* as disclosed in claim 43. Both claims disclose the teaching of an output, claim 43; *generating system outputs based in said processing* claim 12, *outputting thermal performance data...*

Therefore, it would have been obvious, to an artisan of ordinary skill, at the time the invention was made to have taken the expressly claimed teachings of claims 12 and 13 of U.S. patent 7010926 and then derived the express teachings of the claim 43 as presented in the current instant application.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.

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3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 1, 2, 3, 5, 6, 11, 12 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,701,725 to Rossi in view of U.S. Patent 6,629,420 to Renders.

4.1 Regarding independent claim 1, *Rossi* discloses, *a method of computer-based simulation of a cooling system* (Figure 1 and the accompanying text and Col. 2 lines 13-24 and Col. 5 lines 16-36 *Rossi* is teaching modeling the performance of a cooling system which teaches the functionality of a simulator), *comprising: inputting condenser parameters* (Figure 3 “Parameter input” Col. 10 lines 27-67 and Col. 11 lines 1-20 and Col. 12 lines 34-41), *evaporator parameters* (Figure 3 “Parameter input” and Col. 15 lines 48-51 and Col. 6 lines 43-44 “...assumptions about the evaporator are made...”) *and compressor parameters for said cooling system* (Figure 3 “Parameter input” and Col. 6 lines 55-67 and Col. 7 lines 1-45); *processing said condenser parameters, said evaporator parameters and said compressor parameters through a model of said cooling system* (Figure 1 and the accompanying text and Col. 5 lines 16-45), *and a*

*flow control device* (Figure 1 # 14 and Col. 4 lines 10-28 “expansion device” and Col. 4 lines 51-56 “reversing valve”).

However, *Rossi* does not expressly disclose, *selecting a flow control device based on an output of said model*.

*Render* clearly teaches multiple flow control devices used in different systems based on the refrigerant used or the amount of pressure contained in the cooling system (Figure 5, Col. 3 lines 26-52 and Col. 5 lines 9-34).

*Rossi* and *Render* are analogous art because they both come from the same problem solving area of operating HVAC systems.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to have used the teachings of *Render* to provide for modeling different flow control devices when designing an HVAC system.

The suggestion for doing so would have been because as *Render* clearly teaches, different HVAC systems use different types of flow control devices therefore an artisan of ordinary skill while designing an HVAC system would be motivated to *select a flow control device based on an output of a model* (see *Render*, Figure 5, Col. 3 lines 26-52 and Col. 5 lines 9-34).

Therefore, it would have been obvious to combine *Render* with *Rossi* to obtain the invention as specified in claims 1, 2, 3, 5, 6, 11, 12 and 13.

**4.2** Regarding claim 2, *Rossi* teaches, *said flow control device includes one of a capillary tube device and an orifice device* (Col. 4 line 14 “...capillary tube of fixed orifice...”).

**4.3** Regarding claim 3, *Rossi* teaches *comprising selecting a flow control parameter including a sub-cooling temperature and a superheat temperature* (Col. 3 lines 43-57).

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4.4 Regarding claim 5, *Rossi* discloses, *determining refrigerant mass flow rates* (Col. 10 lines 61-65).

4.5 Regarding claim 6, *Rossi* teaches, *wherein said properties include refrigerant charge and one of refrigerant superheat temperature and refrigerant sub-cooling temperature* (Col. 5 lines 7-15 “refrigerant charge...” and Col. 2 lines 41-53 “superheat”).

4.6 Regarding claim 11, *Rossi* teaches *modeling tubing and heat transfer characteristics* (Figure 1 and Col. 5 line 45-52 see also Col. 5 lines 46-59).

4.7 Regarding claim 12 while *Rossi* does not expressly disclose where said output is effected by parameters based on accumulator parameters, *Rossi* does teach that the output is effected by parameters relating to other elements of the cooling system, *see Figures 2 & 3 and Col. 12 lines 24-50*.

Therefore one of ordinary skill would find it obvious that any changes to the parameters of any element of the cooling system would affect the output, including the accumulator.

4.8 Regarding claim 13, *Rossi* teaches *wherein said condenser parameters and said compressor parameters are input as air-cooled condensing unit parameters* (Figure 1 # 12 and Col. 3 lines 58-67 and Col. 4 lines 1-9 and Col. 5 lines 37-45).

5. Claims 43, 16, 17, 18, 19, 20, 24, 25 and 44-48 are rejected under 35 U.S.C. 103(a) as being unpatentable Japanese Laid Open Application Number H 9-257319 to Sachiko Kumada hereafter referred to as Kumada in view of U.S. Patent 3,708,998 to Scherer et al.

5.1 Regarding Claim 43, *Kumada* discloses, *a method of computer-based simulation of a cooling system*, (page 2 Abstract “conducting simulations of coolant circuits”) *comprising*:



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*inputting condensing unit parameters, evaporator parameters and compressor parameters for said cooling system;* (page 10 “In step S203, the generated main processor 7 reads in specification data related to the configuring elements (compressor, evaporator, condenser) from the database file”), *inputting refrigerant properties for a refrigerant flowing through said cooling system;* (section [0011], page 8 “computing the coolant flow volume”) *including configuration information for a heat exchanger of said cooling system* (page 28 figure 11 “HEAT exchanger #3), *processing said condensing unit parameters, said evaporator parameters and said compressor parameters through a model of said cooling system; and generating system outputs based on said model* (page 9 section [0013] “And item 10 is an output file...”).

However, while *Kumada* does not expressly disclose, *inputting refrigerant properties for a refrigerant flowing through said cooling system*, *Kumada* does teach computing coolant flow volume, which is teaching a *property* of how the *refrigerant* is being modeled in the simulation.

*Scherer et al.* teaches; Col. 1 lines 56-63 “Superheat temperature of refrigerant is defined as the temperature of the refrigerant above its boiling point for any given temperature”.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to have provided in a simulation of a cooling system a method of *inputting refrigerant properties for a refrigerant flowing through said cooling system* as disclosed in *Scherer et al.* in the cooling system simulator of *Kumada*.

The suggestion for doing so would have been to provide for the fact that without knowing the *Superheat temperature* of the coolant being used in the cooling system the simulation would fail to accurately simulate the performance of a given cooling system, Col. 1 lines 30-67 of *Scherer et al.*

Therefore, it would have been obvious to combine *Scherer et al.* with *Kumada* in order to obtain the invention as specified in claims 43, 16, 17, 18, 19, 20, 23, 24 and 25.

5.2 Regarding claim 16, *Kumada* discloses, *generating a list of available condensing units, selecting a condensing unit from said list of available condensing units and automatically inputting said condensing unit parameters based on said selected condensing unit* (see Figure 3 and Figures 8-10 and the descriptive text).

5.3 Regarding claim 17, *Kumada* discloses, *wherein said condensing unit parameters include compressor parameters and condenser parameters* (see Figure 3 and Figures 8-10 and the descriptive text)

5.4 Regarding claim 18, *Kumada* discloses the functional equivalent of, *selecting a flow control device for said cooling system based on said system outputs* (see Figure 3 and Figures 8-10 and the descriptive text).

5.5 Regarding claim 19, *Kumada* discloses, *wherein said flow control device includes one of a capillary tube device and an orifice device* (see Figure 3 and Figures 8-10 and the descriptive text).

5.6 Regarding claim 20, *Kumada* does not expressly disclose, *selecting a flow control parameter including a sub-cooling temperature and a superheat temperature*.

However, *Scherer et al.* teaches, Col. 1 lines 40-49, "...the diaphragm and valve member move to open the passage and admit more liquid refrigerant into the evaporator to increase the evaporator pressure" as well as, Col. 1 lines 56-63 "Superheat temperature of refrigerant is defined as the temperature of the refrigerant above its boiling point for any given temperature".

5.7 Regarding claim 23, *Kumada* does not expressly disclose, *wherein said properties*

*include refrigerant charge and one of refrigerant superheat temperature and refrigerant sub-cooling temperature.*

However, *Scherer et al.* teaches, Col. 1 lines 40-49, "...the diaphragm and valve member move to open the passage and admit more liquid refrigerant into the evaporator to increase the evaporator pressure" as well as, Col. 1 lines 56-63 "Superheat temperature of refrigerant is defined as the temperature of the refrigerant above its boiling point for any given temperature".

**5.8** Regarding claim 24, *Kumada* discloses, *further comprising inputting tubing and line heat transfer parameters, wherein said system outputs are further based on said tubing and line heat transfer parameters* (see Figure 10 and the descriptive text).

**5.9** Regarding claims 25, *Kumada* discloses, *inputting accumulator parameters, wherein said system outputs are further based on said accumulator parameters* (section [0035] page 20 "specification data can be accumulated...").

**5.10** Regarding claim 44, *Kumada* discloses, *tube geometry information of said heat exchanger* (Figures 10, 11 and 12 and the descriptive text for those figures).

**5.11** Regarding claim 45, *Kumada* discloses, *horizontal tube spacing information, vertical tube spacing information, outside diameter of tubing information and tubing type information* (Figures 10, 11 and 12 and the descriptive text for those figures).

**5.12** Regarding claim 46, *Kumada* does not expressly disclose, *equivalent parallel refrigerant circuits information*, however, *Scherer et al.* teaches; Col. 1 lines 56-63 "Superheat temperature of refrigerant is defined as the temperature of the refrigerant above its boiling point for any given temperature".

5.13 Regarding claim 47, *Kumada* discloses, *fin geometry information* (Figures 10, 11 and 12 and the descriptive text for those figures).

5.14 Regarding claim 48, *Kumada* discloses, *wherein said fin geometry information includes at least one of fin density information and fin type information* (Figures 10, 11 and 12 and the descriptive text for those figures).

6. Claims 8, 9 and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,701,725 Rossi in view of U.S. Patent 6,629,420 to Renders as applied to claims 1, 2, 3, 5, 6, 11, 12 and 13 above and in further view of US Patent 5,687,094 Kagawa.

6.1 Regarding claim 8, *Rossi* as modified by *Renders* does not expressly disclose *generating a list of compressors*.

*Kagawa* discloses *generating a list of available compressors based on search parameters, selecting a compressor from said list of available compressors and automatically inputting said compressor parameters based on said selected compressor* (Col. 6 lines 26-58).

*Rossi* and *Kagawa* are analogous art because they are from the same problem solving area of modeling complex systems.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to have used the knowledge based systems of *Kagawa* in combination with the cooling system modeling systems of *Rossi*.

The motivation for doing so would have been to provide an industrial product design verification process where verification experience is accumulated and efficiency is improved *see Col. 1 lines 58-62 Kagawa*.

Therefore, it would have been obvious to combine *Kagawa* with *Rossi* to obtain the invention specified in claims 8, 9 and 10.

6.2 As regards claim 9, *Rossi* discloses, *said search parameters include at least one of a model number, a voltage, a phase, a frequency, a refrigerant type, an application type and a capacity* (Col. 8 lines 15-31).

6.3 As regards claim 10, *Rossi* discloses, *wherein said search parameters include a capacity and a capacity tolerance* (Col. 1 lines 14-63 see also Figure 2 “Capacity Index”).

7. Claims 49, 27-31, 33, 34, 37-39, 41 and 52 are rejected as being unpatentable over US Patent 6,701,725 *Rossi* in view of US Patent 6,990,821 *Singh*.

7.1 Regarding claim 26, *Rossi* discloses, *a method of computer-based simulation of a cooling system, comprising:* (Figure 1 and the accompanying text and Col. 2 lines 13-24 and Col. 5 lines 16-36 *Rossi* is teaching modeling the performance of a cooling system which teaches the functionality of a simulator) *inputting condenser parameters*, (Figure 3 “Parameter input” Col. 10 lines 27-67 and Col. 11 lines 1-20 and Col. 12 lines 34-41) *evaporator parameters* (Figure 3 “Parameter input” and Col. 15 lines 48-51 and Col. 6 lines 43-44 “...assumptions about the evaporator are made...”) *and compressor parameters for said cooling system;* (Figure 3 “Parameter input” and Col. 6 lines 55-67 and Col. 7 lines 1-45), *automatically inputting said air properties into a model of said cooling system; and processing said condenser parameters, said evaporator parameters and said compressor parameters through said model* (Figure 1 and the descriptive text and Figures 2 & 3 and Col. 10-12 describe the process of how the model functions as claimed).

Regarding the newly amended limitation, *at least one of said condenser parameters and said evaporator parameters including configuration information for a heat exchanger* the Examiner notes that in Applicants' specification is disclosed in section [0002], *The condensing unit operates as a heat exchanger...* therefore the teaching of a condensing unit in claim 12 meets the claimed limitation of a *heat exchanger* as disclosed in the current claim language.

However, *Rossi* does not expressly disclose, *calculating air properties based on a dry bulb temperature*, the examiner notes that *Rossi* does disclose *wet bulb temperature* (Col. 10 lines 16-24).

*Singh* discloses *calculating air properties based on a dry bulb temperature* (Col. 7 lines 58-67 and Col. 8 lines 1-5).

*Rossi* and *Singh* are analogous art because they are from the same problem solving area modeling cooling systems.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to have used cooling system modeling systems of *Singh* in combination with the cooling system modeling systems of *Rossi*.

The motivation for doing so would have been to provide a method for monitoring system performance including energy consumption for one or more buildings, *see Singh Col. 1 lines 44-67*).

Therefore it would have been obvious to combine *Singh* with *Rossi* to obtain the invention specified in claims 49, 27-31, 33, 34, 37-39 and 41.

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7.2 Regarding claim 27 *Rossi* does not expressly disclose generating a table, however, *Singh* discloses, *wherein said step of calculating said air properties includes generating an air properties table based on said dry bulb temperature* (Figure 8).

7.3 Regarding claim 28 *Rossi* does not expressly disclose generating a graph, however, *Singh* discloses, *wherein said step of calculating said air properties includes generating an air properties graph based on said dry bulb temperature* (Figure 21 & 22).

7.4 Regarding claim 29 *Rossi* discloses the functional equivalent of *selecting a flow control device* (Col. 7 lines 10-15 “select a set of coefficients” and Figure 1 # 14 and Col. 4 lines 9-56).

7.5 Regarding claim 30 *Rossi* discloses, *wherein said flow control device includes one of a capillary tube device and an orifice device* (Col. 4 line3 15-16).

7.6 Regarding claim 31 *Rossi* discloses, *further comprising selecting a flow control parameter including a sub-cooling temperature* (Col. 8 lines 32-40) *and a superheat temperature* (Col. 7 lines 53-63).

7.7 Regarding claim 33 *Rossi* discloses, *further comprising inputting properties for a refrigerant flowing through said cooling system, wherein said output is further based on said refrigerant properties* (Col. 5 lines 62-67 and Col. 6 lines 1-21).

7.8 Regarding claim 34 *Rossi* discloses, *wherein said properties include refrigerant charge and one of refrigerant superheat temperature and refrigerant sub-cooling temperature* (Col. 8 lines 32-40 and Col. 7 lines 53-63).

7.9 Regarding claim 37 *Rossi* discloses, *wherein said search parameters include at least one of a model number, a voltage, a phase, a frequency, a refrigerant type, an application type and a capacity* (Col. 8 lines 15-31).

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7.10 Regarding claim 38 *Rossi* discloses, *wherein said search parameters include a capacity and a capacity tolerance* (Col. 1 lines 14-63 see also Figure 2 “Capacity Index”).

7.11 Regarding claim 39 *Rossi* discloses, *further comprising inputting tubing and line heat transfer parameters, wherein said output is further based on said tubing and line heat transfer parameters* (Col. 3 lines 24-34, Col. 4 lines 9-27, Col. 5 lines 46-62).

7.12 Regarding claim 41 *Rossi* discloses, *wherein said condenser parameters and said compressor parameters are input as air-cooled condensing unit parameters* (Col. 8 lines 17-67).

7.13 Regarding claim 52, *Kumada* does not expressly, *equivalent parallel refrigerant circuits information*, *Scherer et al.* teaches; Col. 1 lines 56-63 “Superheat temperature of refrigerant is defined as the temperature of the refrigerant above its boiling point for any given temperature”.

8. Claim 36 is rejected under 35 U.S.C. 103(a) as being unpatentable over *Rossi* as modified by *Singh* as applied to claims 49, 27-31, 33, 34, 37-39 and 41 above, and further in view of US Patent 5,687,094 Kagawa.

*Rossi* as modified by *Singh* teaches a modeling a cooling system as recited in claims 26-31, 33, 34, 37-39 and 41 for the reasons above, differing in that their combined teaching lacks

(claim 36) wherein said step of inputting compressor parameters includes generating a list of available compressors based on search parameters, selecting a compressor from said list of available compressors and automatically inputting said compressor parameters based on said selected compressor.

*Kagawa* teaches (claim 36) *wherein said step of inputting compressor parameters includes generating a list of available compressors based on search parameters, selecting a*



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*compressor from said list of available compressors and automatically inputting said compressor parameters based on said selected compressor (Col. 6 lines 26-58).*

*Rossi* as modified by *Singh* and *Kagawa* are analogous art because they are all related to modeling the performance of a cooling system.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the compressor list generation methods of *Kagawa* in the cooling system modeling methods of *Singh* and *Rossi* because it would be advantageous to provide an industrial product design verification process where verification experience is accumulated and efficiency is improved *see Col. 1 lines 58-62 Kagawa*.

9. Claims 4, 7, 14 and 21 are rejected under 35 U.S.C. 103 (a) as being unpatentable over US Patent 6,701,725 *Rossi* in view of U.S. Patent 6,629,420 to *Renders* as applied to claims 1, 2, 3, 5, 6, 11 and 13 and in further view of U.S. Patent 4,885,694 *Pray*.

9.1 Regarding claims 4 and 21, *Rossi* as modified by *Renders* does not expressly disclose, *generating a list of flow control devices*.

*Pray* discloses *generating a list of flow control devices*, (Figure 6 # 320 and more specifically # 618 and the descriptive text and Col. 13 lines 40-64 more specifically on line 63 "...valve sizing program for generating...").

*Rossi* and *Renders* as modified by *Pray* are analogous art because they are both from the similar problem solving area of modeling complex systems.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to have used the CAD methods of *Pray* in combination with the cooling system methods of *Rossi* as modified by *Renders*.

The suggestion for doing so would have been to automate the design process and decrease the amount of time required for designing building control systems (*Pray Col. 1 lines 24-27*).

Therefore, it would have been obvious to combine *Pray* with *Rossi* as modified by *Renders* to obtain the invention specified in claims 4, 7, 14 and 21.

**9.2** Regarding claim 7, *Rossi* as modified by *Renders* does not expressly disclose *generating a list of available condensers*.

However, *Pray* teaches generating a list of devices used in the design of systems in a building, (Figure 6 # 320 and more specifically # 618 and the descriptive text and Col. 13 lines 40-64 more specifically on line 63 "...valve sizing program for generating...").

It view of the teachings of *Pray* generating a list of available elements of a cooling system using a design tool would be obvious.

**9.3** Regarding claim 14, *Rossi* as modified by *Renders* does not expressly disclose *generating a list of available air-cooled condensing units*.

However, *Pray* teaches generating a list of devices used in the design of systems in a building, (Figure 6 # 320 and more specifically # 618 and the descriptive text and Col. 13 lines 40-64 more specifically on line 63 "...valve sizing program for generating...").

It view of the teachings of *Pray* generating a list of available elements of a cooling system using a design tool would be obvious.

10. Claims 32, 35 and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over *Rossi* as modified by *Singh* as applied to claims 49, 27-31, 33, 34, 37-39 and 41 above, and further in view of U.S. Patent 4,885,694 *Pray*.

*Rossi* as modified by *Singh* teaches a modeling a cooling system as recited in claims 49, 27-31, 33, 34, 37-39 and 41 for the reasons above, differing in that their combined teaching lacks,

(claim 32) "...generating a list of available flow control devices...",

(claim 35) "...generating a list of available condensers...",

(claim 42) "...generating a list of available air-cooled condensing units...".

*Pray* discloses *generating a list of flow control devices*, (Figure 6 # 320 and more specifically # 618 and the descriptive text and Col. 13 lines 40-64 more specifically on line 63 "...valve sizing program for generating...") and in view of this teaching of *Pray* it would have been obvious to further generate a list of *condensing units* as well as a list of *air-cooled condensing units*.

*Rossi* as modified by *Singh* and *Pray* are analogous art because they are all from the same problem solving area of design tools and modeling of complex systems.

Therefore it would have been obvious to one having ordinary skill in the art at the time the invention to generate a list of available elements of a cooling system using a design tool as disclosed in the teachings of *Pray*.

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11. Claim 40 is rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 6,701,725 Rossi in view of US Patent 6,990,821 Singh.

11.1 Regarding claim 40, while *Rossi* and *Singh* do not expressly disclose inputting accumulator parameters, wherein said output is further based on said accumulator parameters, *Rossi* does teach that the output is effected by parameters relating to other elements of the cooling system, *see Figures 2 & 3 and Col. 12 lines 24-50*.

Therefore one of ordinary skill would find it obvious that any changes to the parameters of any element of the cooling system would affect the output, including the accumulator.

12. Claims 50, 51, 53 and 54 are rejected as being unpatentable over Rossi as modified by Singh as applied to claims 49, 27-31, 33, 34, 37-39, 41 and 52 above and in further view of Japanese Laid Open Application Number H 9-257319 to Sachiko Kumada referred to as Kumada.

*Rossi* as modified by *Singh* teaches a modeling a cooling system as recited in claims 49, 27-31, 33, 34, 37-39 and 41 for the reasons above, differing in that their combined teaching lacks,

(claim 50) “...*tube geometry information of said heat exchanger...*”,

(claim 51) “...*horizontal tube spacing information, vertical tube spacing information, outside diameter of tubing information and tubing type information...*”,

(claim 53) “...*tube geometry information of said heat exchanger...*”.

(claim 54) “...*wherein said fin geometry information includes at least one of fin density information and fin type information...*”

*Kumada teaches, (claims 50, 51, 53 & 54) tube geometry information of said heat exchanger (Figures 10, 11 and 12 and the descriptive text for those figures), horizontal tube spacing information, vertical tube spacing information, outside diameter of tubing information and tubing type information (Figures 10, 11 and 12 and the descriptive text for those figures), fin geometry information (Figures 10, 11 and 12 and the descriptive text for those figures), wherein said fin geometry information includes at least one of fin density information and fin type information (Figures 10, 11 and 12 and the descriptive text for those figures).*

Rossi as modified by Singh and Kumada are analogous art because they are both from the same problem solving area of cooling systems.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to utilize the cooling system methods of Kumada in combination with the cooling systems teachings of Rossi as modified by Singh because Kumada teaches that the operation of the cooling system simulation is simplified and execution efficiency is improved because of the disclosed methods as taught in Kumada, see section [0042] on page 21 of Kumada.

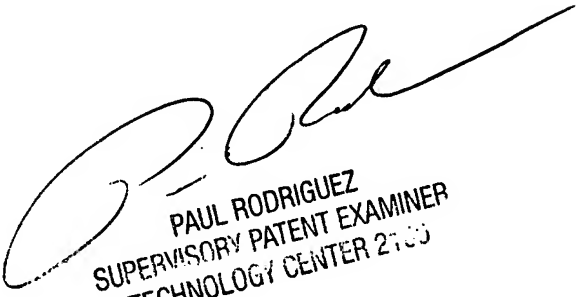
### ***Conclusion***

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dwin M. Craig whose telephone number is (571) 272-3710. The examiner can normally be reached on 10:00 - 6:00 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul L. Rodriguez can be reached on (571) 272-3753. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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